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**Research Article** 

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# Use of A Novel Intraoral Device to Promote Nasal Breathing Reduces Risk of Tooth Decay in Children

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#### **Abstract**

**Introduction:** Tooth decay is a major issue for children, particularly disadvantaging those from low socioeconomic backgrounds, and has both physical and psychological repercussions. Treatment is expensive and unavailable for many children, making prevention essential. Mouth-breathing dries the mouth, reduces saliva flow rate and increases the presence of cariogenic bacteria that cause tooth decay. It is hypothesized that use of an intraoral device to promote nasal breathing may reduce the presence of tooth decay in children.

**Methods:** In a retrospective chart analysis of 80 children aged 4-12, children who were using an intraoral device (Vivos Guide, Vivos Therapeutics, Inc.) to promote nasal breathing were compared against those who had not used the device (control group). The device was worn passively at night and children were also asked to complete 2-4 hours of 'active wear' during the day, doing functional exercises with the tongue to promote nasal breathing. The presence of tooth decay was evaluated at six and twelve months and compared between the two groups.

**Results:** The subjects who used the intraoral device averaged 0.3 instances of tooth decay at six months and 0.4 instances at twelve months. Those who did not averaged 1.28 instances at six months and 0.95 at twelve months.

**Discussion:** Use of an intraoral device to promote nasal breathing significantly reduced the risk of tooth decay by 76.4% after six months (p = 0.0004) and by 57.9% (p = 0.05) after twelve months. The device was well-tolerated by the subjects.

**Conclusion:** The study demonstrates use of an intraoral device to promote nasal breathing can reduce tooth decay and provides a novel method to supplement other means of prevention.

**Keywords:** Prevention of tooth decay; intraoral device; Vivos Guide; Nasal breathing

## Introduction

## **Prevalence**

Tooth decay in children is a painful, costly and widespread oral health problem. Overall, it is estimated that 3-6% of children in the United States have evidence of tooth decay [1], and the prevalence is significantly higher in socially disadvantaged communities [2,3].

## Consequences for children

Tooth decay has wide-ranging consequences for children. Cavities cause pain and discomfort within the mouth, influencing eating habits. In small children, tooth decay has been linked to reduced growth and weight gain [4]. Physically, poor oral health

is associated with acute and chronic infections, abcesses, poor sleep, and gastrointestinal issues [5]. There is also a significant psychological component to oral health in children. Early tooth decay and cavities has been shown to impact self-esteem, feelings of well-being and socialization [6]. When causing tooth loss, decay has been linked to school absenteeism, inability to concentrate in school, failure to thrive, slowed speech development and lower self-esteem [7-9].

### Contributing factors to childhood tooth decay

Research has identified the main contributing factors to childhood tooth decay, including the presence of cariogenic bacteria,



diet, oral hygiene practices and the protective role of saliva. A primary culprit in the formation of tooth decay in children is the cariogenic bacteria Streptococcus mutans (SM). Within the mouth, this bacteria metabolizes sugars and produces acid which strips the enamel from teeth [10]. Research shows a clear link between SM and dental caries; in one study, children were five times more likely to have caries if they had a high level of SM found in the mouth.

S. mutans metabolizes sugars to create acidic byproducts, meaning diet plays a major role in tooth decay among children. Studies have shown a link between consumption of fermentable carbohydrates and tooth decay [11] and research indicates that the prevalence of dental caries drops in communities with lower sugar consumption [12]. Links have also been found between bottle habits, particularly sleeping with a bottle, are associated with tooth decay, with the theory that repeated, long-term exposure to sugars in the mouth promotes decay [13-15]. Proper oral hygiene can mitigate the effects of cariogenic bacteria and dietary influences. Unfortunately, not all children are educated in or have access to proper oral hygiene measures. Studies show that the socioeconomic status and education levels of caregivers has a substantial effect on the oral hygiene of children [16,17]. Consequently, research has shown children raised in low socioeconomic situations are twice as likely to have tooth decay as wealthier peers [18].

Saliva has a protective role to play in the mouth. It's the primary defense system against tooth decay. The saliva flow rate and properties of saliva are shown to prevent tooth decay [19]. Sugary foods at night, when the salivary flow rate is low, may be particularly dangerous in the formation of childhood tooth decay [20]. Mouth-breathing is associated with a dryer mouth, reducing the presence of saliva and its protective effects.

## **Treatment**

Unfortunately, many children with pediatric tooth decay go untreated. Clinical management among children is often expensive because it necessitates the use of general anesthesia, with all the costs and associated risks [21]. In one study, researchers compared the effectiveness of three different treatment techniques among children. More than 1,000 children with tooth decay were randomized to either.

- a) conservative care where they were given preventative recommendations about diet and oral hygiene,
- b) standard 'drill and fill' techniques where the tooth decay is drilled out and replaced with a filling plus the same preventative recommendations, and
- c) a less-invasive placement of a stainless-steel crown over the decayed area with the same preventative recommendations. The authors found no significant difference in outcomes between these three treatment methods [22].

### Mouth breathing as a risk factor

Children who breath primarily through their mouth dry the mouth and reduce the presence of saliva. Mouth breathing is

associated with higher levels of plaque and gingivitis. Studies have shown mouth breathing causes lower salivary flow rate and lower saliva pH. Perhaps most importantly, research indicates higher levels of SM bacteria in the mouth for mouth-breathers than for nose-breathers [23].

## Study purpose and hypothesis

It is clear preventative measures are preferable to having to treat tooth decay among children. However, despite widespread teaching of oral hygiene techniques, fluoridation of water supplies and toothpastes and other preventative measures, childhood tooth decay continues to be a major problem. This study aims to evaluate the ability of an intraoral device designed to convert children from mouth-breathing to nose-breathing to reduce the risk of tooth decay. If the device is able to prevent mouth-breathing, it may be able to increase salivary factors, reduce the presence of cariogenic bacteria and, ultimately, reduce childhood tooth decay. The study will be conducted in an area with unfluoridated water supplies (indicating children at higher risk for tooth decay). Children who are in need of the intraoral positioner for jaw alignment, closed airways, snoring, bedwetting behaviors, or other reasons will also be monitored for tooth decay to determine whether an intraoral positioning device can reduce the risk of tooth decay.

#### Methods

This study is a retrospective chart analysis of 80 children, aged 4-12, who received treatment at a single dental clinic. Half of the patients (40) had been given an intraoral device to treat sleep-disordered breathing or other oral issues, while half (40) had not.

## **Preventative education**

Both groups received the same information on measures to prevent tooth decay, including proper oral hygiene and dietary considerations.

#### Intraoral device

The intraoral device (Vivos Guide, Vivos Therapeutics, Inc.) is a flexible, mono-block, BPA-free polymer plastic device designed to reposition the jaw and seal the lips to convert mouth-breathing children to nose-breathing. The device is worn by the children throughout the night as they sleep for 8-10 hours of passive wear each day. There is also an active use component where the child actively conducts myofunctional exercises of the mouth with the device in for 2-4 hours during the day. The tongue is raised to the roof of the mouth, effectively closing the lips and retraining the body to breathe through the nose.

## Study outcomes

Both children in the test group and the control group were evaluated for the formation of tooth decay. If tooth decay was found, it was treated. Then children were followed up at six and twelve months. If found at six months, tooth decay was again treated (so the check at twelve months measured new decay that formed between 6-12 months).

#### Results

#### **Demographics**

There was no significant difference between the control and

test groups in either age or gender. The average age for both groups was 8.38 years of age (STD of 1.97 in the test group and 1.90 in the control group). The control group had 20 male and 20 female children, while the test group had 19 male and 21 female children.

## **Study results**

Table 1: Average Instances of Tooth Decay Per Child at 6 Months of Device Use.

	Test	Control
Average Instances of Tooth Decay	0.3 per child (SD 0.72)	1.28 per child (SD 1.61)
Risk Reduction	76.40%	

Table 2: Average Instances of Tooth Decay Per Child at 12 Months of Device Use.

	Test	Control
Average Instances of Tooth Decay	0.4 per child (SD 0.87)	1.28 per child (SD 1.90)
Risk Reduction	57.90%	

At six months, subjects in the test group averaged 0.3 instances of tooth decay on examination, ranging from 0 to a maximum of 3 areas of tooth decay found. The control group averaged 1.28 instances with a range from 0 to a maximum of 6 areas of tooth decay per child. At twelve months, subjects in the test group averaged 0.4 instances of tooth decay, ranging from 0 to 4 areas found. The control group averaged 0.95 instances of tooth decay, ranging from 0 to 9 per child (Table 1,2). The device was well-tolerated by children, with no reported complaints from children or their caregivers.

### **Discussion**

The data indicate a statistically significant reduction in the risk of development of tooth decay at both six and twelve months when using an intraoral device to promote nasal breathing. At six months, risk of tooth decay was reduced by 76.4% (p = 0.0004) and at twelve months the risk reduction was 57.9% (p = 0.05).

An unpaired (independent) two-sample t-test was used for statistical analysis to compare the means of the control and treatment groups. The null hypothesis that there was no significant difference between the test groups was rejected and the difference in means was deemed statistically significant at a 95% confidence level.

While the mechanism of action was not investigated in this study, there are two possibilities that appear likely based on previous research and the results obtained in this study. The first is children were converted from mouth-breathing to nose-breathing. This increased the saliva levels in the mouth, increasing the pH of the mouth and decreasing the prevalence of cariogenic bacteria causing decay. It is also possible that converting patients to nose-breathing increased levels of nitric oxide in the nasal cavities and positively affected the oral environment to reduce cariogenic bacteria levels.

## **Conclusion**

Use of an intraoral device promoting nasal breathing among children showed significant reductions in the risk of tooth decay among children aged 4-12 after six and twelve months of use. Additional research into the mechanism of action and whether these protective effects are maintained after use of the device is discontinued is warranted. This research demonstrates a novel technique for preventing tooth decay among children.

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#### **Conflicts of Interest**

The author declares no conflicts of interest.

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