

**Mini Review***Copyright © All rights are reserved by Donald B. Olson*

Vertical Structure of Ocean Ecosystems: A Particle and Dissolved Organic View

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Recent trends in understanding ocean ecosystems have brought new appreciation of poorly understood components such as microbial processes and remarkable questions about the overall balances such as Redfield equilibria and time scales involved with biological and physical carbon pumps. While new theoretical and observational progress is crucial to sorting out issues from climate change to sustainable fisheries, the focus in this short essay is on processes themselves and how they vary in a vertical slice through the ocean. In a reductionist sense the discussion begins with a consideration of dissolved versus particulate matter. Here particles may be living or dead. The simple model is meant to highlight the issues involved in the time scales of particulate and dissolved carbon in the deep ocean. The goal then is to use simple two-phase models to motivate the importance of recent measurements of the carbon age of these components in the deep ocean. The phases of concern are the overall dissolved material involved in biogeochemical processes (DOC plus nutrients) and particles (bacteria, phytoplankton, zooplankton, and detritus). The overall ideas behind the biological pump can be found in recent reviews. Here the discussion starts with production in the surface layer.

Keywords: Ocean ecosystems; dissolved carbon; linear particle loss term; global thermohaline circulation

Introduction

Recent trends in understanding ocean ecosystems have brought new appreciation of poorly understood components such as microbial processes and remarkable questions about the overall balances such as Redfield equilibria and time scales involved with biological and physical carbon pumps. While new theoretical and observational progress is crucial to sorting out issues from climate change to sustainable fisheries, the focus in this short essay is on processes themselves and how they vary in a vertical slice through the ocean. In a reductionist sense the discussion begins with a consideration of dissolved versus particulate matter. Here particles may be living or dead. The simple model is meant to highlight the issues involved in the time scales of particulate and dissolved carbon [1] in the deep ocean. The goal then is to use simple two-

phase models to motivate the importance of recent measurements of the carbon age of these components in the deep ocean by [2,3]. The phases of concern are the overall dissolved material involved in biogeochemical processes (DOC plus nutrients) and particles (bacteria, phytoplankton, zooplankton, and detritus). The overall ideas behind the biological pump can be found in recent reviews by [4,5]. Here the discussion starts with production in the surface layer.

Consider a very simple model of particles (P; phytoplankton, zooplankton and detritus) in a light field ($I(z) = I_0 \exp(kz)$, $z = 0$ at sea surface and $z < 0$ with depth) and a dissolved component (N) that includes dissolved carbon and nutrients. The dissolved material is assumed to have a concentration N_0 below the photic

zone and uptake by P is replaced by diffusion ($K d^2N / dz^2$) and upwelling ($-w dN / dz$). It is also remineralized by adding a fraction, ϵ , of P lost to a linear mortality ($m P$). The remainder of the dead P, $(1-\epsilon) m P$, are lost to the deep ocean. While it is not important to the discussion here, these models are typically written in terms of the content of N in the fluid and P. The model equations are then

$$\begin{aligned} dN / dt &= -u I(z) NP / (Kn + N) + \epsilon m P - w dN / dz + K d^2 N / dz^2 \\ dP / dt &= u I(z) N P / (Kn + N) - m P \end{aligned}$$

where u is the nutrient uptake by P as governed by Michaelis-Menton dynamics with a half-saturation constant Kn . Analysis of this dynamical system proceeds by evaluating the steady, equilibrium states where $dN / dt = dP / dt = 0$. From the dP / dt equation, the equilibrium for the nutrient is

$$\tilde{N} = m Kn / (u I(z) - m)$$

Substitution of this into the $dN / dt = 0$ yields a rather complicated equation for the P equilibrium. The \tilde{N} equation, however, is sufficient for the present discussion as shown in Figure 1. This simple model has a critical depth where both equilibria (N and P) behave in a way that is not possible. In a dynamical system context, the first assumption is that the dynamics become time-dependent and in this case exhibit limit-cycles. The linear particle loss term (mP) is oversimplified but justified in the simple model given the heterogenous nature of P and its vertical behavior. Adding a zooplankton grazing component [6] only complicates the issue by allowing full chaos even without allowing vertical migration. More complex models [7-10] all suggest complex time dependence at the zone in the water column where light becomes limiting to P. In Lima et al. models involving NPZD and NPPZZD, where D is detritus and the double entries denote multiple P and Z, steady states lack the infinities but are still unstable at the bottom of the photic zone. The onset of chaos is set by increasing N_0 . This outcome is the classical paradox of enrichment [11]. Observations [12,13] are also consistent with the conclusion that this important boundary to the major productive zone in the ocean is fundamentally time dependent. This conclusion is also manifest in the variability seen in most fluorometer profiles near the 1% light level.

The notion of chaotic behavior in ecosystems has produced many studies including the classic food chain model of Hastings and Powell [14]. Chaotic systems exhibit properties that seem at odds with the overall structure of the ocean such as paradox of enrichment and extinctions. Olson and Hood [15] for example show that a H-P model of the Gulf Stream produces an unreasonable north-south productivity gradient. To avoid chaos Steele and Henderson [16] suggest using quadratic mortality, such as μP^2 in the model above. While this inclusion does make models more stable and can be rationalized [17], it does not eliminate the transition to chaos. Extension of an H-P type model to include age-structured Z and a microbial web by the author and Glenn Flierl also produces enhanced stability but still exhibits chaos in a full parameter space. The question becomes one of understanding the dynamics of the ocean becomes even more daunting when one considers the large

number of species that play a role. The complexity of modeling the 300 or so species described by Venrick [18] in Hawaiian water columns is beyond current capabilities.

The question is whether this system is stabilized by this complexity and the coupling to microbial and a grazing community also consisting of a very high number of players. Steele's rationale for suppressing chaos in models is that ecosystems should evolve to minimize chaos and its tendency to reduce net production and drive extinction. Independent of the question of chaos, the evidence of relationships such as Redfield ratios imply that there is strong adaptive forces at the ecosystem scale. It also seems that trying to increase the number of players is not feasible. Instead, an explicit treatment of particle size, i.e. $P(\psi)$ where ψ is $2\pi/l$ and l are the particle size, could provide further insight. The goal is then to describe the spectrum of particle sizes produced in the photic zone. It is also important to include the spatial-temporal distributions of N and P, the horizontal flows with their associated turbulence and the temporal variations on time scales from the diurnal cycle, weather, seasons, and climate change at various scales.

Ocean physics ranging from fronts and mesoscale eddies to small-scale turbulence produces strong time-dependent patchiness in the ocean [19]. These physical factors can mask intrinsic or even stabilize inherent time-dependent biological interactions. Temporal issues such as the Gran-Sverdrup mismatch hypothesis of blooms [20,21] also lead to variable production and particle loss to depth. Various works are now embedding these biological models into physical models to understand dynamics of upper ocean ecosystems for biogeochemical and fisheries studies. The coupling in the vertical of the photic zone to the deeper aphotic layers, however, demands more investigation. At this point the hypothesis is that strong temporal variability in photic zone P leads to a spectrum of particles that contribute additional carbon to the deep ocean carbon pool added by the coupled biophysical dissolved pump. Moving from the photic zone with its net-production of organic matter into the deep ocean, again consider the balance of particulate material (living or dead) and dissolved components.

These components in the very large-scale ocean circulation make up the physical and biological pumping of carbon into the undersaturated deep sea. The physical transport of surface layer carbon involves currents that carry dissolved inorganic carbon (DIC; CO_2 , HCO_3^- , CO_3^{2-}) and dissolved organic matter (DOM; proteins, lipids...) into the waters below the photic zone. The biological pump involves both the contribution to the DOC by DOM carried into the deep ocean by the physical pump and the sinking of particle matter (POC, living and dead). One might speculate that the correlation between DOM and flow into the world's thermoclines and deep waters dominates. Understanding each component of carbon flux needs to be re-examined both in relationship to the carbon problem and in the understanding of the time scale of the global thermohaline circulation. The time scale of the global "conveyor-belt" circulation first described by Defant and Wüst is currently measured by ^{14}C in DIC. The estimated thousand-year time scale [22,23] assumes an imprint of pre-bomb radiocarbon in waters off Greenland without any modifications other than mixing during their transport to the deep Pacific.

Recent measurements of DOC ^{14}C by [2,3] raise significant questions both about the carbon pump and the time scale of the thermohaline circulation. These observations show that DOC is much older than the DIC in the deep ocean ($2-6 \times 10^3$ vs 10^3 years). Their explanation involves “dead” carbon ($^{14}\text{C} = 0$) from mid-ocean ridge (MOR) bio-production. The flux of carbon from MOR requires more investigation, but it seems that MOR probably produce more dead DIC than DOM. As an alternative think about the interaction between the deep-sea particle field, DIC, and DOC. Here again

specific treatment of a particle size spectrum and the associated variation in particle sinking rate [24]. Measurements of sinking are provided by analysis of thorium and other insoluble elements [25,26]. Again, consider a particle-dissolved fraction model of vertical fluxes in the deep Pacific as shown in Figure 2. Without writing the equations, the model suggests a balance between upwelling of deep Pacific water that carry DIC and DOM upward and bacteria incorporation of DOM into POC.

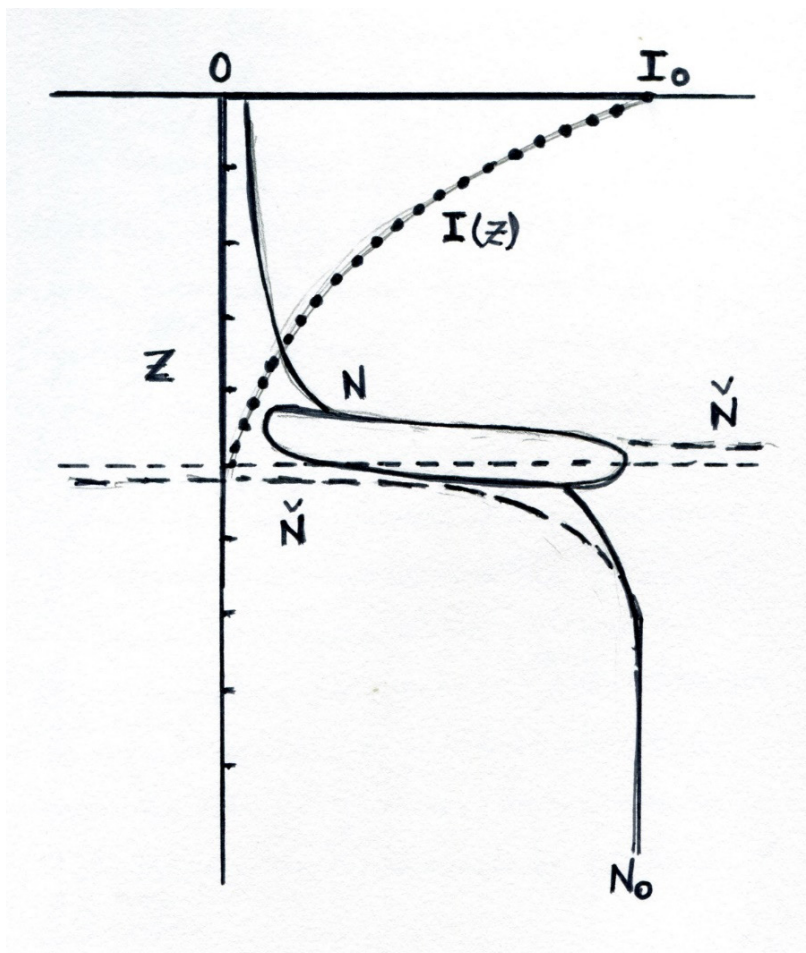


Figure 1: Vertical profiles of the dissolved component equilibrium (\hat{I}) in the simple NP model along with the light curve ($I(z)$) and the Lima et al (2002) NPPZZD model nutrient (N). The short-dashed line is the critical depth where $u I(z) = m$. The roughly oval area in the N profile indicates the attractor space that N chaotically fills. No is the deep pool of N. Note that the NP model matches the upper N curve in the Lima et al (2002) model and approaches N_0 but goes to $\pm\infty$ at the critical depth.

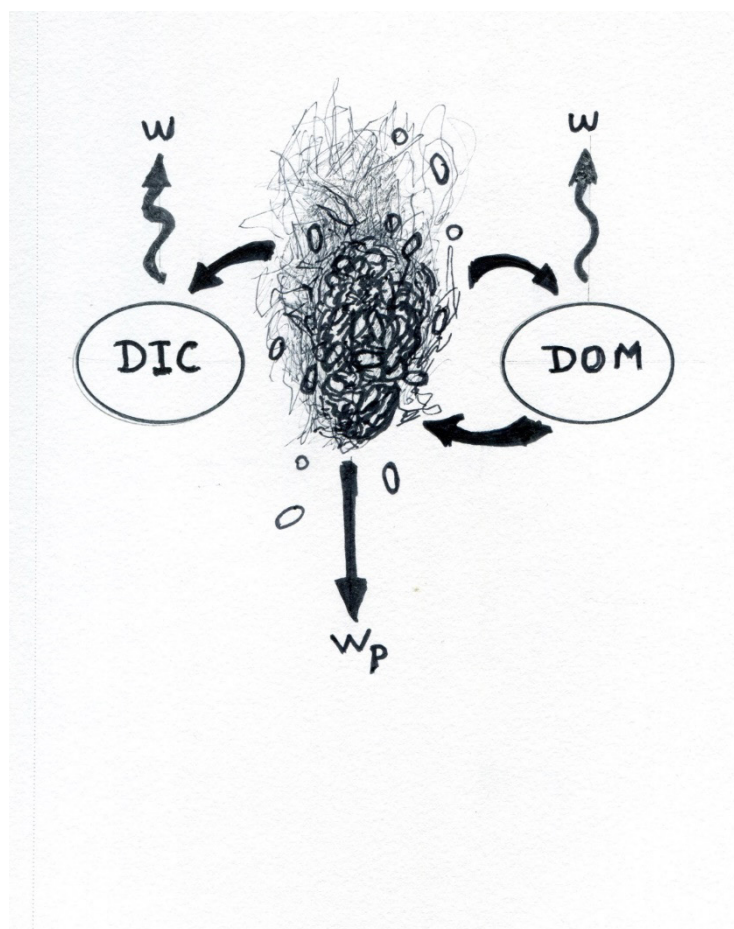


Figure 2: Schematic of the interactions between falling particles and dissolved components of carbon in the deep ocean. The idea here is that particle fluxes are downward. Particle sinking rates depend on their size with larger, denser particles sinking faster. Dissolved components are upwelling with the deep circulation in central basins. The transfer of carbon from the dissolved pool to the particulate fraction by bacteria and then back to the DOC pool from the particles provides a counter-current loop that allows the DOC pool to age compared to the upwelling DIC. This assumes that DIC produced by respiration is small leaving its age unchanged and the particles that form a site for bacteria are primarily refractory and don't add significant new carbon to the DOC.

The surface-initiated particle flux is sinking, moving against the slowly upwelling waters. This counter-flow of carbon components is coupled with the action of microbes that exchange carbon between pools of DIC, DOC, and POC. The model can produce the age differences, but at the same time modifies the understanding of the upward water flux that is measured by ^{14}C among other means. The exchanges involved with M-M uptakes are also essentially unknown. The model can be formulated with or without an explicit bacteria component (B). In the simple models a broad range of possible parameters allows the model to achieve the age difference observed by Druffel, but the model is very poorly constrained in terms of its parameters. One means of closing this problem is to introduce other tracers. An obvious choice of ^{13}C does not seem to provide a constraint. A coupling of the model and the photic zone model to include multiple currencies, i.e. nitrogen, carbon..., is suggested. This allows an explicit consideration of the Redfield ratio and its variation [27,28]. Finally, the eventual contribution of organic matter (P or N) to DIC suggests that the age estimates

of the deep Pacific and timescale of the conveyor belt should be re-examined. The conclusion is that vertical particle fluxes have a bearing not only on the biological pump, but on the biophysical portion of it and the global deep circulation itself.

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