



## Research Article

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# The Value of a Second Operation in Patients with Glioblastoma Multiforme: is it Medically Justified and Ethically Appropriate?

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## Abstract

**Objective:** Glioblastoma multiforme (GBM) is the most common primary malignant brain tumor, having a high incidence of local recurrence. The question remains if a second operation offers the patient an enough and meaningful longer survival to be medically justified and ethically appropriate. In this paper we attempt to come up with a reasonable answer through the results in our patients and in similar ones in the literature

**Materials and Methods:** The records of 49 patients with gross total resection of GBM were reviewed for two groups, comparing 20 patients with symptomatic recurrence, with that of 29 patients having a second operation. Effects of age, inter-operative duration, and adjuvant therapies on survival were studied, using univariate and multivariate analysis. The results were compared with similar studies in the literature.

**Results:** The results indicate median survival of 34 months (mean 38.9) for one operation, and 27.5 months (mean 49.9) for two operations ( $p=0.4$ ). Adjuvant therapy, including radiation and chemotherapy with BCNU and Podophyllotoxins, had no significant survival benefit in either group. Patients younger than 60 years had significantly longer survival (median 32 months) compared with older patients (median 19 months,  $p=0.03$ ). Interoperative interval of more than 2 years had significantly longer survivals. ( $p=0.02$ ).

**Conclusions:** This study as with those similar in the literature indicates that patients with histological diagnoses of "glioblastoma" significantly have varied duration of survival; likely caused by difference in the genetic makeup of the tumors, their location, and comorbidity. That creates uncertainty in recommending a second operation. Yet, a second operation can extend survival in months for younger patients, especially for those younger than 40 years. Thus, it should be reasonable both ethically and clinically, if selected based on high ethical standard, patient's informed consent, and realistic clinical expectation that the extending survival would somehow improve the patient's medical condition.

## Introduction

Glioblastoma multiforme (GBM) is one of the most malignant and rapidly progressing primary brain tumors in adults [1,2]. The standard treatment has traditionally been a single operation, followed by radiation and in some cases chemotherapy. At times a second operation is offered, hoping to extend the survival. Questions often arise if a second operation does offer the patient a meaningful longer survival that is both medically justified and ethically appropriate. This study is about the results in our patients together with results reported in the literature.

## Materials and Methods

Eighty-three patients with a histological diagnosis of glioblastoma were operated between 1964 and 1995. In 49 patients there was sufficient data for analysis: 29 patients had one operation, and 20 patients had two operations. The purpose of each operation was to remove tumors as extensively as possible without causing neurological abnormalities. A second operation was done because of recurrence of symptoms and radiographic evidence of regrowth of the tumor.

The histological diagnosis of GBM was made by a neuropathologist (author RW). Except for one patient having the first operation elsewhere, all patients were operated by the first author. Other co-authors independently reviewed, accumulated, and wrote about the data and two independently reviewed statistical data. All patients were followed until their deaths; the last patient died in 2007. The records were reviewed to assess age, duration before recurrence of symptoms, and results after the second operation.

The Kaplan-Meier method was used to analyze survival for each group; a log-rank and two-sided test were used to compare the survivals; p values less than 0.05 were considered to be statistically significant. The chi-squared test was used to assess the homogeneity of the groups, and the Cox proportional hazards model to test the association of age, inter-operative period, and adjuvant therapy on survival. All statistical analysis was conducted in SAS 9.4 (SAS Institute, Cary, NC, USA). The adjuvant therapy consisted of radiation therapy and chemotherapy with BCNU and Podophyllotoxins.

## Results

Results from the Kaplan-Meier method are presented in Figure 1. Decimal-rounded results indicate a median survival of 34 months (mean of 39) for one operation, and 28 months (mean of 50) for two operations; the difference is not statistically significant ( $p=0.4003$ ),

**Table 3:** Survival Outcome in Months Based on Age for All Patients.

Age	Mean	Median	Std Dev	P values	95% CI	HR
≥40	35.89	31.5	29.45	0.18	-7.40 – 42.48	0.691
<40	53.33	34	52.17			
≥50	36.08	30.5	31.4	0.23	-8.50 – 37.05	0.730
<50	50.36	34	48.46			
≥60	26.44	19	17.75	0.03	2.81 – 38.65	0.627
<60	47.18	32	44.01			

**Table 4:** Survival Outcome in Months Based on Inter-operative Span and Adjuvant Therapies for Two Operations.

		Mean	Median	Std Dev	P values	95% CI	HR
Inter-operative span	≥6mo	46.94	31	41.7	0.62	-46.11 –	1.39
	<6mo	61.75	22	86.3		75.73	
	≥2years	90.33	92	38.27		-103.04 -	
	<2years	32.57	21.5	46.24		0.02	
BCNU and Radiotherapy	received	43.4	29	44.23	0.99	-24.41-	0.971
	did not	43.35	32	39.8		24.3	

The mean and the median interoperative survival were 46.94 and 31 months for an interoperative span of 6 months and longer, and 61.75 and 22.5 months for shorter spans; again, this was statistically not significant ( $P=0.62$ ). However, they were 90.33 and 92 months for 2 years and longer, and 32.57 and 21.5 months for shorter spans, constituting a significant difference ( $P$  value=0.02), Table 4.

Additional treatment with BCNU and radiotherapy for a second operation had no significant effect on survival: there was a mean

and median of 43.40 and 29.0 months as compared with 43.35 and 32 for those who did not receive such treatment ( $p=0.99$ ), Table 4.

**Table 1:** Survival Outcome in Months.

Operations	Mean	Median	Std Dev	P values
One (n=29)	38.9	34.0	33.24	0.4003
Two (n=20)	49.9	27.5	50.85	

In general, the mean age for one operation was 52.1 years and for two operations was 34.7, Table 2. Patients younger than the age of 40 had longer mean and median survivals than those older: 53.33 and 34.0 versus 35.89 and 31.5, but the difference was not significant ( $p=0.18$ ). A similar trend was observed with the cutoff age of 50. However, the mean and the median survival for patients younger than 60 was 47.18 months and 32.0, versus those older: 26.44 and 19. This difference is statistically significant ( $p=0.03$ ), Table 2,3.

**Table 2:** Age Distribution in Years.

Operations	Mean	Median	Std Dev	P values
One (n=29)	52.1	56	15.13	0.0002
Two (n=20)	34.7	33.5	14.77	

and median of 43.40 and 29.0 months as compared with 43.35 and 32 for those who did not receive such treatment ( $p=0.99$ ), Table 4.

## Discussion

This study lacks the statistical significance to support that a second operation prolongs the duration of survival for GBM as compared with that of one operation. However, it indicates an increase in the mean survival by 11 months with decrease of median survival by 6.5 months (Table 1). In addition, close clinical observation revealed that a second operation often resulted in a

period of relief of neurological symptoms by reducing the tumor mass and intracranial pressure; the patients were generally content with the results. We did not perform the Karnofsky test which would have provided more context to these observations.

The discrepancy between mean and median survival results from a vast difference in individual length of survival, ranging from 2 to 155 months for one operation and from 10 to 191 months for two operations. The patients' ages were also spread across 24 to 76 years for one operation and from 8 to 63 years for two operations. While the number of patients here is not enough to give a clear guide, the literature does not contain a clear answer either. Despite thousands of reoperations, there still is no agreed and concrete recommendation to give patients.

The uncertainty about second operations stems from the genetic nature, diagnosis, and management of GBM than from a paucity of patients: numerous studies [1-15] report favorable outcomes, others [16-18] report the opposite. This can be explained by the fact that despite similar histological appearance, GBMs have varied molecular and genetic attributes and aggressiveness. Other factors such as location, size, and age contribute. In addition, the presence of comorbidity, disability, and doctor-patient views have influence on the length of survival. That is why recommending a second operation requires a high level of ethical consideration. For there are patients who welcome any duration of longer survival, while others forego even longer durations, both views demand respect.

While effective treatment for GBM has been relatively slower as compared with some other malignant tumor [19], ultimately better treatment or cure has to come through science. An important consideration for a second operation is that, at least for younger patients, the potential longer survival can diminish or delay disability, and give the time needed for the newer chemo or radiation therapy to work. Johnson et al. [20], found as our study shows, that patients surviving longer than 2 years from diagnosis have a relatively favorable conditional probability of survival into the future compared to newly diagnosed patients. In addition, the presence of glioblastoma subtypes [21] is believed to "facilitate the discovery of therapeutic and diagnostic target candidates."

While slow, there has been progress. In 1884 Rickman Godlee operated on a patient with brain tumor, a glioma [22], but the patient died 28 days later from meningitis [23]. In 1977, the FDA approved the use of Carmustine (BCNU) for the brain that was used for decades together with radiotherapy and operation for treatment of GBM. Others reported a 2.5 fold increase in median survival using BCNU plus radiation [24]. In 1979 [25], we randomly assigned 21 patients with grade III or IV astrocytoma receiving either BCNU alone or BCNU with VM-26, a semisynthetic podophillotoxin, following surgery and radiation therapy. The result indicated that a single-agent chemotherapy had a median survival of 14 months while combined chemotherapy had a median survival of 22 months. However, the difference was not statistically significant, ( $P > 0.5$ ).

In 1980, Salzman [12] studied data extracted from 17 reports in the literature comprising 2,532 patients and found the median survival for operations alone, operations plus radiation, and operations plus radiation and chemotherapy was 4, 9.25, and 10

months respectively. In 2011, based on recursive partitioning analysis (RPA) classification, Li et al updated GBM database in three distinct classes and indicated median survival times of 17.1, 11.2, and 7.5 months for Classes III, IV, and V+VI, respectively [26]. In 2005 temozolomide chemotherapy became a common adjunct to radiation therapy and operation, after which a large study in 2012 indicated a rise in median survival from 12.0 months to 14.2 months [27].

As for second operation, in 2016 through analysis of 28 studies of 2,279 patients with a second operation, Montemurro et al. [28] reported median overall survival from diagnosis and from a second operation at 18.5 months and 9.7 months, respectively. Despite a vast difference in numbers our findings essentially mirror theirs. While operations in our experiment were done several decades ago, the operative technique has not changed. Except for one, all patients were operated by one surgeon in one institution; and all patients were followed until their death. In their follow-ups, the patients with second operations were essentially happy with their decision. Now with the advent of more effective chemo-radiation modalities patients may do better.

Thus, despite the uncertainty and lack of strong statistical proof, this study and others 4 -16 show that a second operation for glioblastoma, in patients below the age of 60 and especially 40 can prolong survival. In addition, because we did the second operations when the patients' symptoms recurred, they would have likely not lived as long as they did, if they did not have the second operation. We therefore conclude that a second operation on glioblastoma is reasonable, if it is done based on high ethical consideration; combined with a realistic clinical expectation that it would extend a survival that would improve the patient's medical condition; and is based on the patient's informed consent and desire.

## Conclusion

A review of the literature indicates mixed results about the value of a second operation for glioblastoma. Numerous investigators report that it prolongs survival, others indicate the opposite. Our data indicate that when symptoms of GBM recurred, a second operation extended the mean survival by 11 months; a few months more in those younger than 60, and 17 months in patients younger than aged 40 years. But the data lacks statistical significance, likely caused by vast difference in the length of survival; and by varied molecular genetics of the tumors, size, location, and patients' ages. This creates uncertainty to recommend a second operation.

Yet, there is empirical evidence that a second operation does prolong survival, especially in younger patients, that can be both desirable and give more time for other treatments to work. Thus, despite the uncertainty, it should be reasonable both ethically and clinically for an individual patient, if selected based on high ethical standard, patient's informed consent, and realistic clinical expectation that the extended survival would somehow improve the patient's medical condition.

## Contributors

- J.Hekmatpanah, MD, did all the operations at except for one patient who had the first operation elsewhere.

- D. Slotwiner, MD, did the first chart reviews and retrieved the data while a senior medical student at the University of Chicago.
- M. Gorjian, MD, a research assistant at the University of Chicago, neurosurgery in 2017 reviewed all the records tabulated, and made the tables.
- All the above three authors were involved in writing and reviewing the paper
- R. Wollmann, MD, professor of neuropathology made the histological diagnosis of the tissues
- All authors have read the final manuscript and agree
- Kristen Wroblewski, MS, a senior Biostatistician. At the Biostatistics laboratory, the university of Chicago reviewed the data and advised on statistics.

### Competing Interest

There is none.

Patient consent for publication: not required. This was a retrospective record study, all patients had died, there is nothing in the manuscript to identify patients.

### Ethical Approval

As this was a retrospective data review without identifying photo, name or record number. The senior author is a faculty member in medical ethics.

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