



## Research Article

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# Neural Conduction Impairment in the Brainstem Auditory Pathway in term Infants with Perinatal Problems

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## Abstract

Term infants with perinatal problems, i.e., high risk term infants, often suffer certain degree of brain damage. We examined evoked responses in the brainstem auditory pathway in high risk term infants in a tertiary hospital. The data were compared with those in normal term controls to identify any difference. To minimize the influence of threshold variation on wave latencies of the evoked response, all data were analyzed at 40 dB or slightly higher above individual threshold. Compared with the normal controls, the high risk infants showed slightly increase in wave I latency. However, the latencies of waves III and V in the high risk infants were both increased significantly at all repetition rates of clicks used. The I-V interpeak interval was increased significantly at all click rates. Although the I-III interval in the high risk infants tended to be increased, no significant difference from the normal controls was found at any click rates. Nevertheless, the III-V interval in the high risk infants was significantly increased at all click rates. These results suggest neural conduction impairment in the brainstem auditory pathway in term infants with perinatal problems.

## Introduction

Most full-term neonates are born with no major perinatal problems. The others, however, have various perinatal conditions or problems. A most prominent perinatal problem that significantly damage the immature brain is perinatal asphyxia. With the improvement in perinatal care, the incidence of perinatal asphyxia has reduced significantly. However, there are still various perinatal problems that may directly or indirectly damage the immature brain, leading to various degree of neurological impairment and/or developmental deficits. The brainstem auditory evoked response (BAER), reflecting functional integrity of the brainstem auditory pathway, can help detect auditory impairment and brain damage [1,2]. The BAER test is particularly suitable for neonates who have perinatal problems that may affect the auditory pathway [2,3]. A large body of BAER studies have shown that the neonatal brainstem auditory pathway is susceptible to some unfavorable perinatal

conditions or problems, e.g., asphyxia, hyperbilirubinemia, and meningitis [2-6]. Previous reports on the BAER were mostly in preterm infants, whereas the reports in term infants were relatively fewer.

An improved understanding of whether term neonates with perinatal problems, i.e., high risk term infants, are associated with brainstem auditory impairment is important for management of these infants. In this reported study, we recorded and analyzed BAER in high-risk term infants at a neonatal intensive care unit in a tertiary hospital. Perinatal asphyxia is a well-known high-risk factor for the immature brain, including the brainstem auditory pathway [1-3,6]. To exclude the known effect and focus on other perinatal problems or conditions that may affect the brainstem auditory pathway, we exclude any infants who had perinatal asphyxia. The data were compared with those in normal term infants to identify

any difference. The BAER was recorded and analysed with click stimuli of different repetition rates. A detailed analysis of BAER wave latencies and interpeak intervals was conducted to focus on neural conduction in the brainstem auditory pathway. To minimize the influence of threshold variation on BAER wave latencies, all data were analysed at 40 dB or slightly higher above BAER threshold of individual infants.

## Patients and Methods

The study group was comprised of 47 term neonates who had various perinatal conditions and/or problems, except asphyxia. They were recruited from the neonatal intensive care unit of the Children's Hospital, Fudan University. The perinatal conditions and/or problems included meconium aspiration syndrome, hyperbilirubinemia, hypotension, sepsis, metabolic acidosis, hypoglycaemia, etc. Their gestational age was 37-42 ( $39.6 \pm 1.4$ ) and birthweight 2505-4,815g ( $3,404 \pm 565$ g). Apgar scores were 7-10 at both 1- and 5-minutes. The normal control group was comprised of 44 healthy term neonates. Their gestational age was 37-42 ( $39.2 \pm 1.2$ ) and birthweight 2534-4,846g ( $3,436 \pm 472$ g). Neither gestational age nor birthweight differed statistically between the study and control groups.

All infants were studied at 3-6 days after birth. At the time of BAER testing, the postconceptional age was  $40.2 \pm 1.3$  and  $39.6 \pm 1.4$ , respectively, for the study and control groups, which did not differ significantly. Before the test, parental approval was obtained for all infants. The study protocols were approved by the Ethics Committee of the Children's Hospital. Only the left ear was tested for consistency and reducing recording and analysing time. The BAER was recorded and analysed using a Spirit 2000 Portable Evoked Potential System (Nicolet Biomedical Inc. USA). Sweep duration was 12 ms. Prior to BAER recording the auditory meatus was inspected and cleaned of any vernix or wax. The infant lay supine in the cot. Before electrode placement, the skin on sites was prepared to reduce interelectrode impedances to 5 k $\Omega$  and less. Gold-plated disk electrodes were placed, respectively, at the middle forehead (+), the ipsilateral earlobe (-) and the contralateral earlobe (ground). Recording BAER commenced shortly after the subjects fell asleep naturally, often after a feed, without using any sedatives. Rarefaction clicks of 100  $\mu$ sec duration were delivered to the left ear through a TDH 39 earphone. All subjects were tested at a click intensity 60 dB nHL. For those who had a BAER threshold >20 dB nHL, higher intensities were used to obtain BAER data at an intensity level 40 dB or slightly higher above the threshold of each subject. This allowed BAER components to be clearly identified and reliably analysed. Two runs were made for each recording condition for reproducibility. Each run included averaged brain responses to 2,048 clicks. The clicks were presented at the sequence of 21, 51 and 91/s in the first run, and at a reversed sequence in the second run.

Prior to inputting to the averager brain responses to the clicks were amplified and bandpass filtered at 100-3000 Hz. An automatic artefact rejection was used to reduce the inclusion of

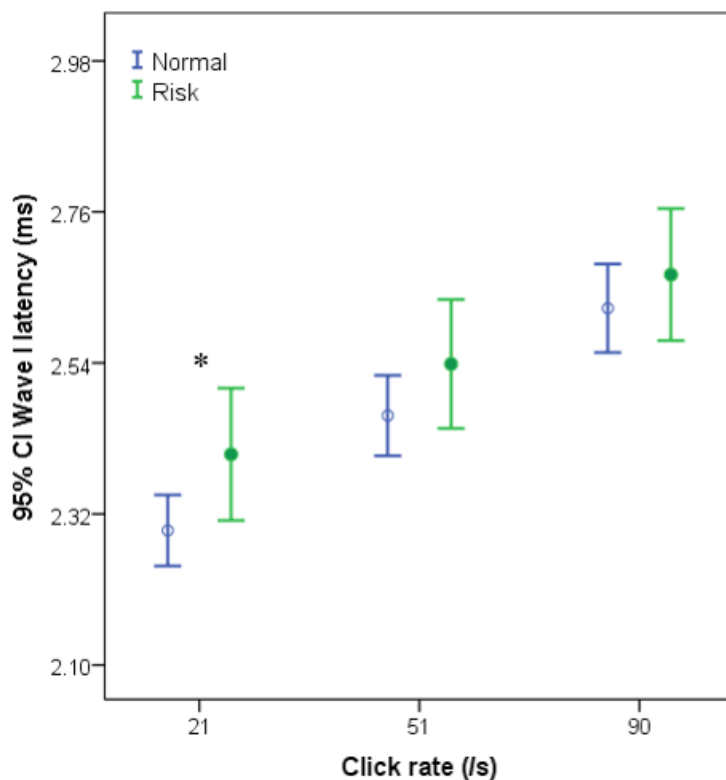
high-amplitude muscular activity in the averaged responses. Both the ongoing filtered EEG and the running averaged BAER were monitored while averaging. Whenever there were excessive muscle artefacts on the monitoring screen the sampling was manually discontinued to avoid inclusion of the artefacts. Sweep duration was 12 ms. BAER data collected with the click intensity of 60 dB nHL were used for detailed analysis. In the high risk infants who had a BAER threshold between 25 and 40 dB nHL ( $n = 6$ ), the BAER was analysed with the data collected with clicks at 70-90 dB nHL. This will allowed the data in all infants to be analysed at a hearing level  $\geq 40$  dB or slightly higher above the threshold of each infants. The measurements of two replicated BAER recordings to each stimulus condition were averaged for further analysis. The mean and standard deviation of each BAER variable were compared between the study and control groups using a Student's t test. A 2-tailed value of  $p < 0.05$  was considered statistically significant.

## Results

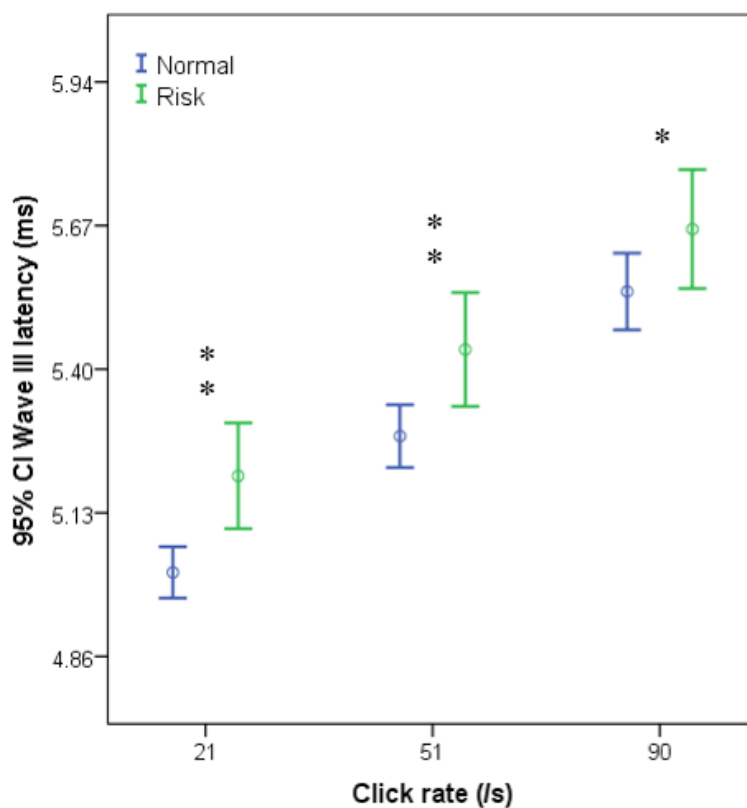
For all infants, BAER waves I, III and V were reliably identified at all click rates used. This was mainly benefited from the fact that all data were analysed at a hearing level  $\geq 40$  dB above the threshold of each neonate. The measurements of BAER wave latencies and interpeak intervals at various click rates are presented in Figs. 1-7. As the click rate was increased, all BAER wave latencies and interpeak intervals in both the study and control groups. In the study group, the latencies of waves I, III and V were all correlated positively and significantly with click rate ( $r = 0.432, 0.541$  and  $0.687$ , all  $P < 0.01$ ). The I-III, III-V and I-V intervals were also correlated positively and significantly with the rate ( $r = 0.412, 0.635$  and  $0.616$ , all  $P < 0.01$ ). This is also for the III-V/I-III interval ratio ( $r = 0.425, P < 0.01$ ). These correlations between BAER variables and click rate in the study group were generally similar to those in the control group, with only small differences.

Compared with the control group, the study group showed a general increase in most BAER wave latencies and interpeak intervals (Figures 1-7). Wave I latency in the study group tended to be longer than in the control group at all 21/s, 51/s and 91/s, but only differed significantly at 21/s clicks ( $P < 0.05$ ) (Figure 1). However, wave III latency in the study group was significantly longer than in the control group at all click rates ( $P < 0.05-0.01$ ) (Figure 2). Similarly, wave V latency in the study group was significantly longer than in the control group at all click rates (all  $P < 0.01$ ) (Figure 3).

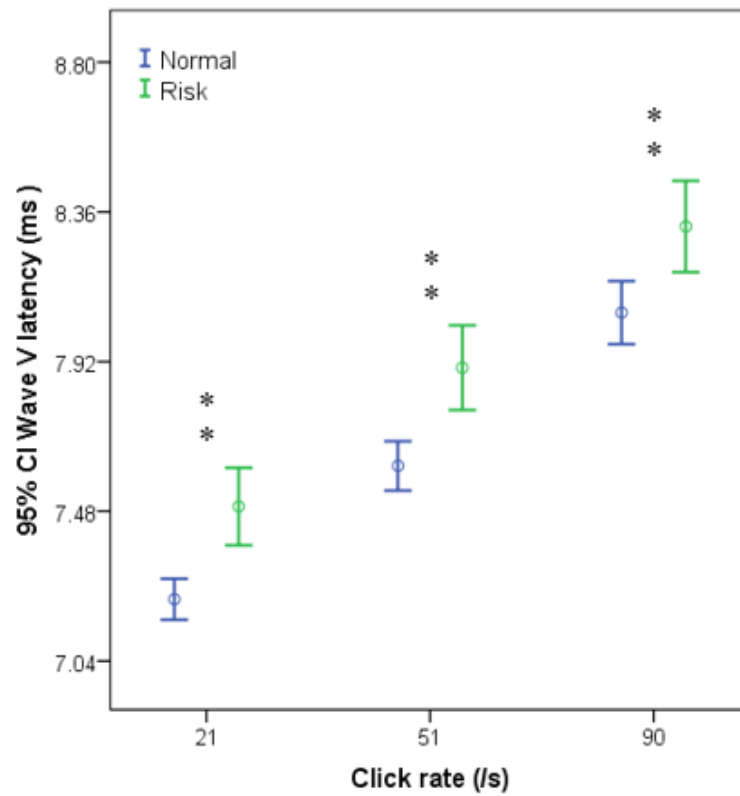
The I-V interpeak interval in the study group was significantly longer than in the control group at all click rates (all  $P < 0.01$ ) (Figure 4). The I-III interval tended to be longer than in the study group, but the difference between the two groups did not reach statistical significance at any click rates (Figure 5). However, the III-V interval in the study group was significantly longer than in the control group at all click rates (all  $P < 0.01$ ) (Figure 6). The III-V/I-III interval ratio in the study was slightly longer than in the control group, but significantly longer than at both 51/s and 91/s clicks ( $P < 0.05$  and  $0.01$ ) (Figure 7). Clearly, the difference between the two groups was increased with click rates increase.



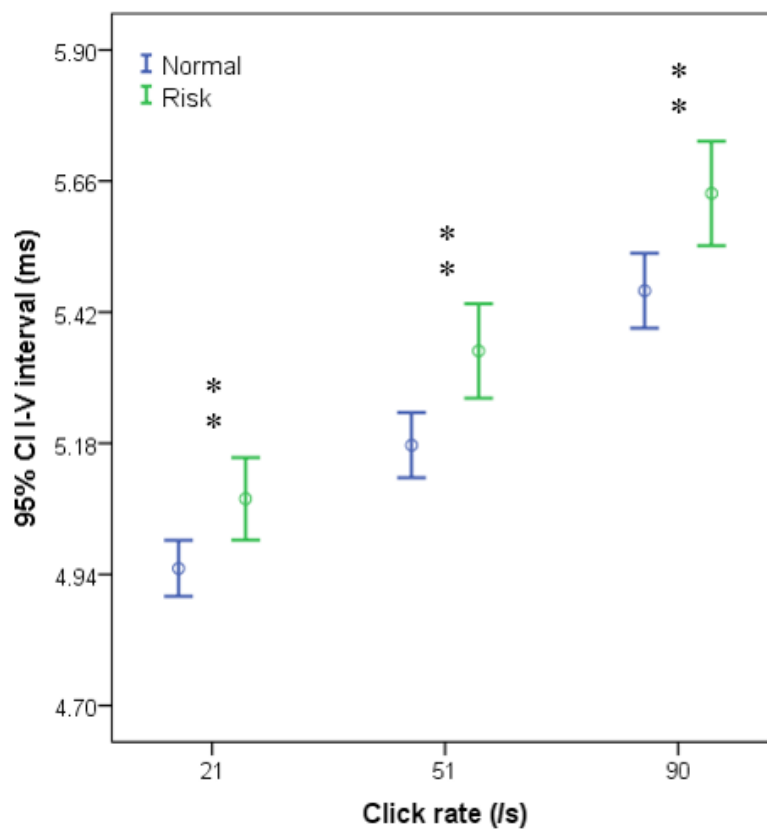
**Figure 1:** Means and SEs of wave I latency at 21/s, 51/s and 91/s clicks. The latency in the high risk infants tends to be longer than in normal infants, and differs significantly at 21/s clicks. \*  $P < 0.05$  for comparison between the two groups of infants.



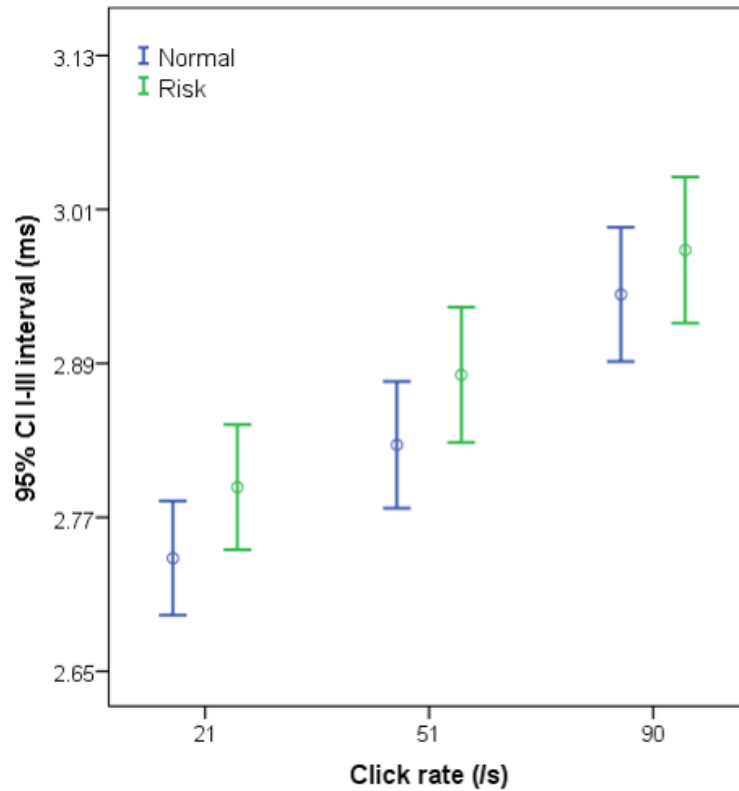
**Figure 2:** Means and SEs of wave III latency at 21/s, 51/s and 91/s clicks. The latency in the high risk infants is significantly longer than in normal infants. \*  $P < 0.05$ , \*  $P < 0.01$  for comparison between the two groups of infants.



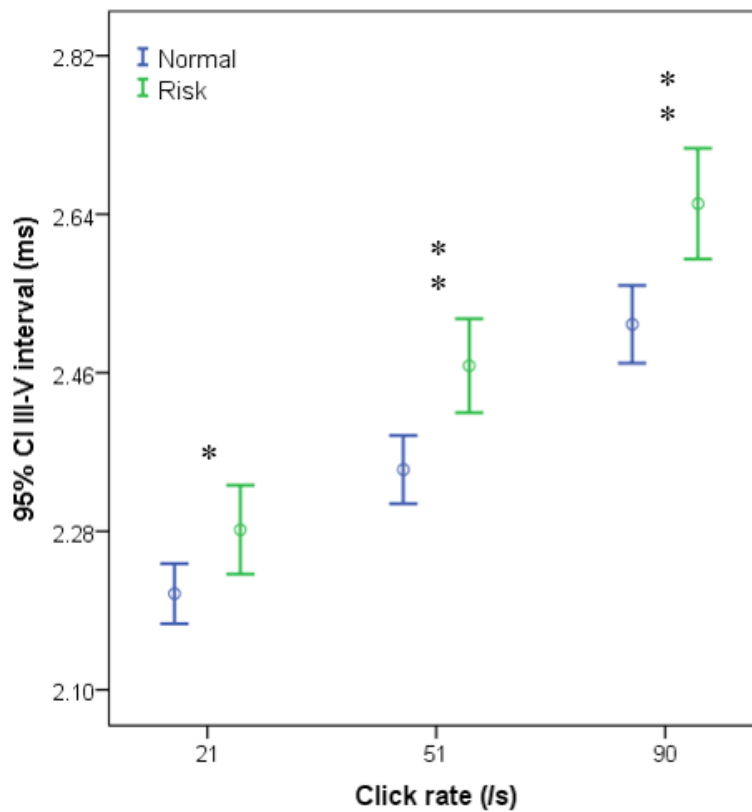
**Figure 3:** Means and SEs of wave V latency at 21/s, 51/s and 91/s clicks. The latency in the high risk infants is significantly longer than in normal infants. \*\* P < 0.01 for comparison between the two groups of infants.



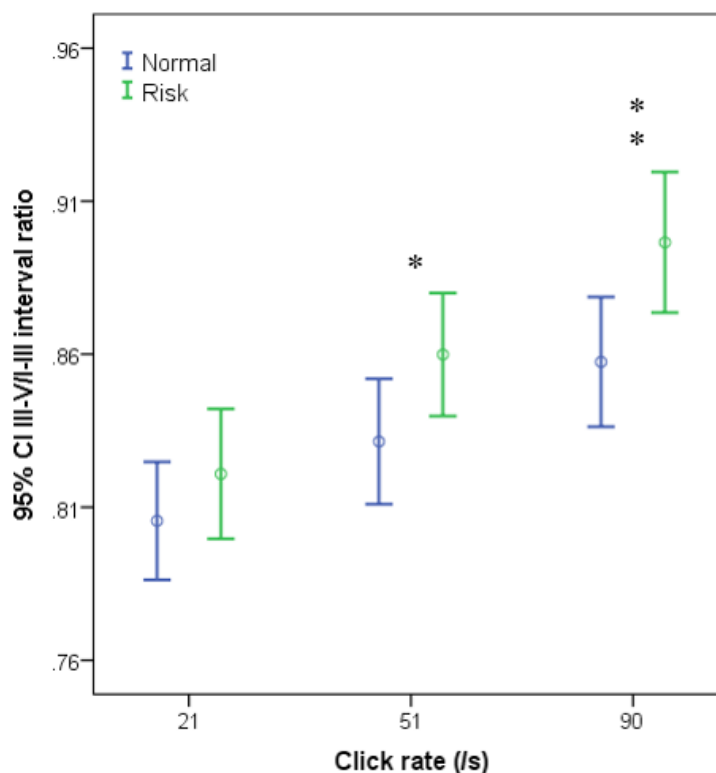
**Figure 4:** Means and SEs of I-V interval at 21/s, 51/s and 91/s clicks. The interval interval in the high risk infants is significantly longer than in normal infants. \*\* P < 0.01 for comparison between the two groups of infants.



**Figure 5:** Means and SEs of I-III interval at 21/s, 51/s and 91/s clicks. The interval in the high risk infants tends to be longer than in normal infants, but did not differ significantly at any click rates.



**Figure 6:** Means and SEs of III-V interval at 21/s, 51/s and 91/s clicks. The interval in the high risk infants is significantly longer than in normal infants. \*  $P < 0.05$ , \*\*  $P < 0.01$  for comparison between the two groups of infants.



**Figure 7:** Means and SEs of III-V/I-III interval ratio at 21/s, 51/s and 91/s clicks. The interval ratio in the high risk infants is significantly greater than in normal infants at 51/s and 91/s. \*  $P < 0.05$ , \*\*  $P < 0.01$  for comparison between the two groups of infants.

Among perinatal problems, asphyxia is the most extensively studied by previous investigators using the BAER [1,3,6]. It is now evident that perinatal asphyxia often results in BAER abnormalities, including increase in wave latencies and interpeak intervals, which suggests functional impairment of the auditory pathway. By comparison, it is relatively less clear if other perinatal problems result in BAER abnormalities in term infants at term age. In the present study, we found major BAER abnormalities in the high risk term infants within one week after birth, suggesting neural conduction impairment in the brainstem auditory pathway. In the BAER, wave I is generated exclusively by the eighth nerve, located in the peripheral part of the brainstem auditory pathway. Wave III is mainly generated by the neurons in the cochlear nucleus, located in the dorsal or more peripheral regions of the auditory brainstem. Wave V is generated by the auditory neurons in the nuclei of the lateral lemniscus and/or inferior colliculus, located in the rostral or more central regions of the brainstem [1,7,8]. In the present study, although wave I latency was only slightly increased, the latencies of BAER waves III and, particularly, V were in our high risk infants significantly increased. Generally, the latencies of later BAER waves, i.e., waves with longer latencies, were increased more than the latencies of earlier waves, i.e., waves with shorter latencies. The same is true at all click rates. This finding suggests that the more central BAER components are increased more than the more peripheral components in the high risk infants, which is confirmed by the findings of BAER interpeak intervals. The I-V interval is the

most widely used BAER variable to assess neural conduction along the brainstem auditory pathway. The significant increase in this interval in our high risk infants at all click rates used is indicative of impaired neural conduction along the pathway. Of the two sub-components of the I-V interval, the first or earlier sub-component I-III interval was only slightly increased, while the second or later sub-component III-V interval was significantly increased at all click rates. Clearly, the significant increase in the I-V interval (and wave V latency) in the high risk infant is produced mainly by the increase in the III-V interval. These results indicate that high risk term infants are associated with impaired neural conduction along the brainstem auditory pathway. The perinatal problems or conditions other than asphyxia affect the brainstem auditory pathway and damage neural conduction of the pathway. The damage occurs mainly at more central part of the pathway.

Asphyxia has long been recognized to damage the brainstem auditory pathway [1,3,9,12]. In addition, there are many other perinatal problems that could damage the immature brain, e.g., sepsis, hyperbilirubinemia [13-21]. In the present study, because of the limited number in each of the problems, it is difficult to identify which of these play(s) a major role in the BAER abnormalities. Limited information is available in the literature regarding BAER findings in any specific perinatal problem or complication. It is likely that the BAER abnormalities found in our high risk infants are the results of collective adverse effects by more than one perinatal problems or conditions. It is important to identify any perinatal

problems, in addition to the known perinatal asphyxia, that damage the brainstem auditory pathways. To do so a substantial number of subjects are required so that each risk factor has a sufficient number of subjects for robust statistical analysis. Nevertheless, the sample size of the present study was relatively small and the number of subjects for each perinatal condition is not sufficient for robust, reliable statistical analysis. With continuing to recruit new subjects, we hope that in time our data will be sufficient for reliably identify the major high risk factor(s) that damage the brainstem auditory pathway.

### Acknowledgement

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### Conflict of Interest

No conflict of interest.

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